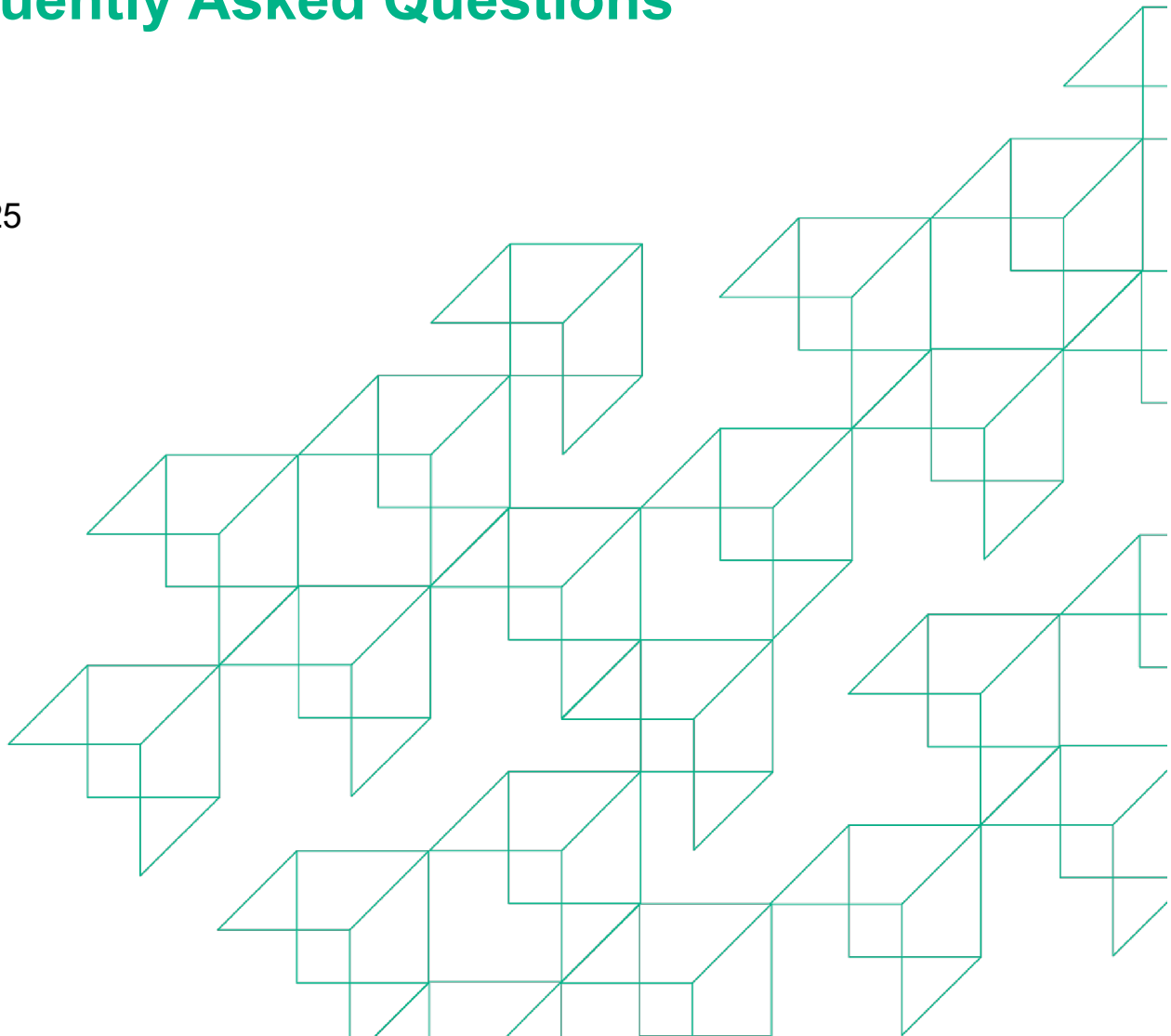


# Northern Star Resources Ltd

## Automatic Employee Share Plans (ESP) - Frequently Asked Questions

May 2025







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## Portal Access and Account Setup

### Where can I get help with registering for the Automatic Investor Portal?

If you need assistance with registering, please refer to our video walkthrough available [here](#).

### Where can I get help with setting up Multi-Factor Authentication (MFA)?

If you need help setting up MFA, changing your MFA preferences, or adding additional methods, you can watch our step-by-step video walkthrough [here](#).

### Does Automatic have an Investor Portal mobile app?

Not yet, but the Automatic Investor Portal is mobile responsive, meaning it adapts seamlessly to different devices for a smooth and intuitive user experience. We are planning to release a mobile app in the future, so stay tuned for updates.

## Accessing Historical ESP Documents

### How can I access my historical documents previously issued by MUFG?

Your historical plan documents and tax summaries are available in your "Documents & Statements" library within the Automatic Investor Portal. To access these, log in and click on **"Documents & Statements"** from the left-hand menu.

Exercise Plans 2 plans available for exercise

### Employee Share Plans

**NORTHERN STAR**  
RESOURCES LTD Demo Listed Issuer Limited

\*\*\*\*\*000956 DR EUGENE BURKE

#### Employee Option Plan

Total Plan Value  
**\$679,800.00**  
30,000 units

Breakdown		
Vested	\$158,620.00	7,000 units
Unvested	\$339,900.00	15,000 units
Restricted	\$0.00	0 units

32% Vested

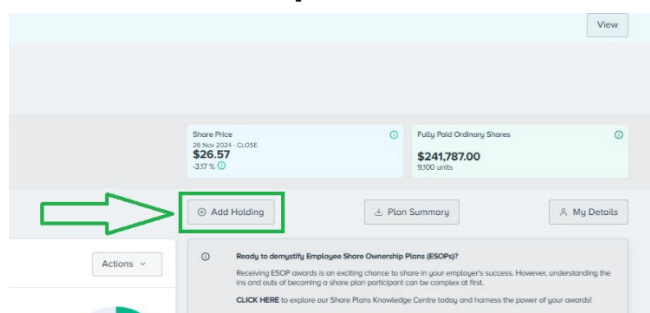


# Managing your Shareholdings

## Do I need to register each shareholding I have in Northern Star Resources Limited separately on the Automic Investor Portal?

No, you don't need to create separate accounts for each holding. You can add additional holdings, such as a broker-sponsored HIN or an issuer-sponsored SRN, to your existing Investor Portal account. Simply click on **“Add Holding”** from either your Portfolio page or your Employee Plan dashboard.

### Step 1:



### Step 2:

A screenshot of the 'Add holding' form. It starts with a heading 'Add holding' and a sub-heading 'LINK YOUR ACCOUNTS'. Below this, it says 'To manage multiple accounts from the one login, please enter a HIN or SRN below and an Automic representative will respond within two business days.' There are two input fields: the first is labeled 'Find company' with a dropdown menu showing 'AUTO' and a green checkmark; the second is labeled '1400123456789' with a green checkmark. Below these fields is a reCAPTCHA section with a green checkmark and the text 'I'm not a robot'. At the bottom, there are two buttons: 'submit request' (in green) and 'cancel'.

## How do I transfer my shares to my brokerage account?

Transferring shares to your brokerage account is straightforward with Automic. Since Automic's single platform manages both share registry and employee share plans, your Securityholder Reference Number (SRN) remains the same across your equity awards and ordinary shares.

Once you've received confirmation of your ordinary shares, you can use the same SRN to request a transfer to your brokerage account.

Previously, when MUFG managed these services, this required a two-step process involving a transfer to the main register. With Automic, this step is no longer necessary.



**I believe I hold securities under multiple Securityholder Reference Numbers (SRNs) in the same name. Can I consolidate these into a single holding?**

Yes, if you have multiple holdings under different SRNs and would like to consolidate them into a single holding, please email us at **[northernstar@automicgroup.com.au](mailto:northernstar@automicgroup.com.au)**, and our team will assist you with the process.

**I'm having trouble transferring my shares from a Securityholder Reference Number (SRN) to my brokerage account, which uses a Holder Identification Number (HIN). Can you help?**

If you're experiencing issues transferring shares from your SRN to your HIN, it's important to check that the name and address details on your SRN exactly match those on your HIN. Even small discrepancies can cause issues.

If the details don't match, let us know by emailing [northernstar@automicgroup.com.au](mailto:northernstar@automicgroup.com.au), and we'll help update the name or address on your holding to ensure the transfer process goes smoothly. Minor cosmetic changes usually don't require any supporting documents. However, for more significant updates, we may request documentation to process the change on your behalf.

For further guidance on completing the transfer, here are links to broker help pages:

- [NAB Trade](#)
- [CommSec](#)
- [CMC Markets](#)



## What is the difference between a Securityholder Reference Number (SRN) and a Holder Identification Number (HIN)?

The difference lies in how your shares are held:

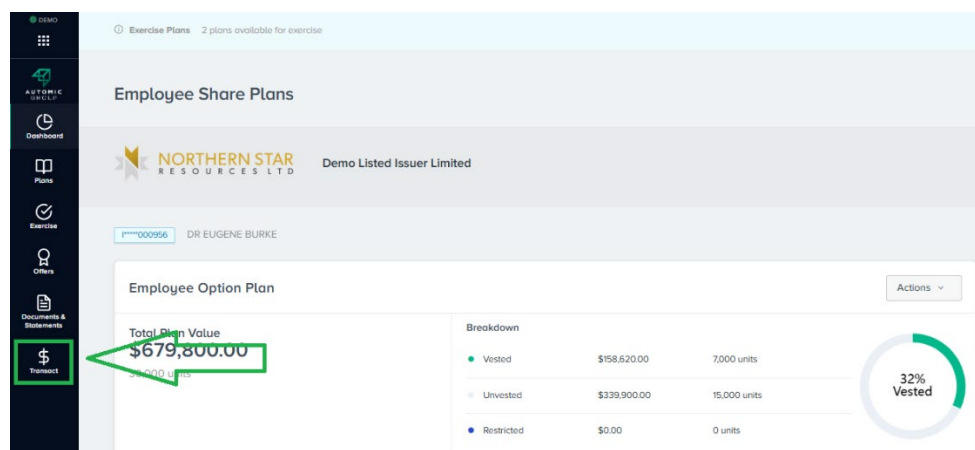
- **CHESS-Sponsored Shares** These shares are held through a stockbroker and registered with the Clearing House Electronic Subregister System (CHESS). They are assigned a **Holder Identification Number (HIN)**, which is unique to you and your broker.
- **Issuer-Sponsored Shares** These shares are managed directly by the issuer's share registry and are not linked to a specific broker. Instead, they are assigned a **Securityholder Reference Number (SRN)**. Issuer-sponsored shares can still be traded through any broker, provided you meet the broker's conditions.

Both types allow you to manage and trade your shares, but the key difference is whether the shares are associated with a broker (HIN) or the issuer's registry (SRN).

## Selling Shares

### Can I sell my shares through the Automic Investor Portal?

Yes, Automic offers an employee share sale facility that allows you to sell shares without needing your own brokerage account. To access this feature, log in to the Investor Portal and click on “**Transact**” from the left-hand menu.





Before proceeding, please review Automic's **Financial Services Guide** and **Terms and Conditions**, and ensure your sale complies with **Northern Star's Securities Trading Policy**.

**Sell Your Vested Shares**

Congratulations on reaching this milestone in your employee share plan journey. The shares that have vested from your participation in your company's employee share plan are now available for sale. Please review the financial services guide and terms and conditions before proceeding.

**Securities Available for Sale**

PLAN NAME	GRANT NAME	TRANCHE NAME
LTIP - Options Plan	LTIP Options - May	2024-04-23
ESOP - Employee Option Plan	FY19 Employee Share Option Plan	Tranche 2 - Monthly vest
FSP - Tax Exempt Share Plan	FY23 Employee Share Plan - \$1,000 GR allocation	

**Receiving Your Payment**

Once your shares have been successfully sold, the net sale proceeds will be transferred to your nominated bank account. Please ensure that your bank account details are up-to-date in the system to avoid any delays. The payment will typically be processed within 5 business days of the sale completion. Please note that if you have nominated overseas payment details, the proceeds may take longer to reach your bank account.

Please click continue to proceed and submit your sale order.

**Total Estimated Fees**  
\$148.19  
[View breakdown](#)

[Continue](#)

**Review Sale Order**

**Key Documents**

- [Financial Services Guide](#)
- [Terms and Conditions](#)

**Order Summary**

Holder Name: SAM SAMPLE  
Order Type: Sell  
Security Name: ORDINARY FULLY PAID SHARES  
Estimated Price: [next price: 116.47x](#) \$28.24  
Number of Units: 300  
Estimated Fees: \$148.19  
Estimated Net Sale Proceeds: \$8,323.81

[It can take 5 to 10 business days to receive your funds depending on the type of payment. \[Learn more\]\(#\)](#)

[You must read and agree to the Financial Services Guide & Terms and Conditions before you can confirm your sale order. Please download these documents from the "Key Documents" section. To proceed, please click on the checkboxes below and click the confirm button.](#)

☒ I have read and agree to the terms and conditions  
☒ I have read and agree to the financial services guide

[Confirm](#) [Cancel](#)

The sale facility provides important details, including:

- An estimated net sale proceeds including applicable fees based on the current market price of Northern Star Resources shares.
- The expected timeline for receiving sale proceeds in your nominated bank account.

Please note that the final sale price may vary due to market conditions and other factors.

## Where can I see my share sale history completed through MUFG's employee share sale facility?

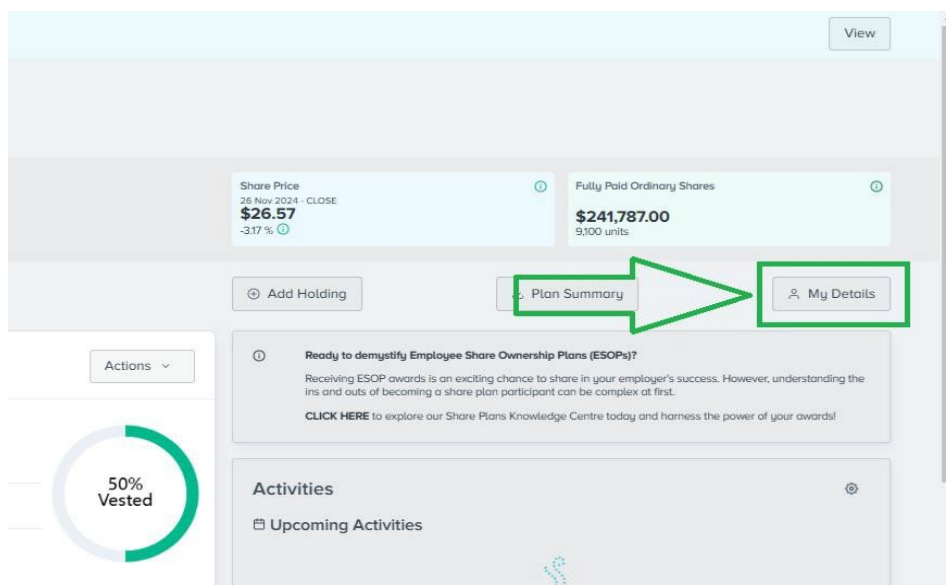
A sale history statement detailing all historical sales completed via MUFG's employee share sale facility, including the sale date, price, and net proceeds, will be prepared and uploaded to your "Documents & Statements" library. You can access it by clicking on "**Documents & Statements**" from the left-hand menu in the Automic Investor Portal.



# Updating your Personal Details

**How can I update my personal details, such as my address or bank account?**

You can update your address, communication preferences, tax, and payment instructions by clicking on “**My Details**” from either the plan or portfolio screens.



Select Electronic Only and enter your email.

Click next and follow the prompts to update your other details such as Bank Account and Tax details.

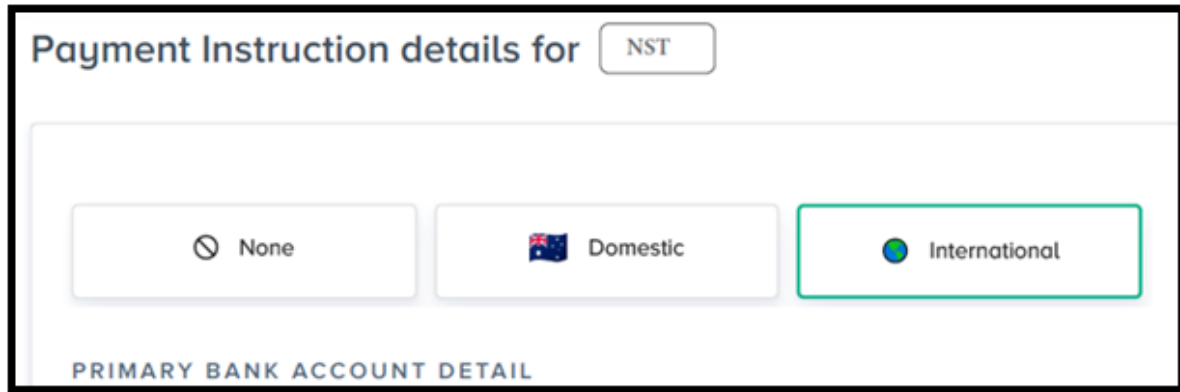
Finally, you will reach the review and save section. Review the details you have provided are correct and select **SAVE** at the top right of the screen to save your changes.

**\*If you do not save your details in this step then any changes will be lost.**



**What's the process for updating my payment information with an international bank account (USD)?**

- Click on the 'International' button under **“Payment Instruction details for NST”**



The screenshot shows a web interface for updating payment information. At the top, it says "Payment Instruction details for" followed by a button labeled "NST". Below this, there are three buttons: "None" (with a crossed-out circle icon), "Domestic" (with an Australian flag icon), and "International" (with a globe icon). The "International" button is highlighted with a green border. Below the buttons, the text "PRIMARY BANK ACCOUNT DETAIL" is visible.

- Enter in **“Your Banking Details”** into the fields listed below.



## Payment Instruction details for NST


 None

 Domestic

 International

### PRIMARY BANK ACCOUNT DETAIL

 Domestic


 International

#### DOMICILE

 United States



#### CURRENCY

 United States Dollar



#### 1. Your Banking Details



##### NAME OF BANK OR FINANCIAL INSTITUTION

Alaska Credit Union 1

The bank that the beneficiary account is held with. Alphabetical. Minimum 3 characters.

##### BRANCH NAME

Soldotna

Name of the branch at which the account is held. Alphabetical. Minimum 3 characters

##### BRANCH CODE/SORT CODE/ROUTING NUMBER

325332065

Exactly 9 digits only, no dash or other characters.



##### ACCOUNT NAME

John Alan Smith

The name that the beneficiary account is held in. Alphabetical. Minimum 3 characters



##### ACCOUNT NUMBER

5477203761

Account Number, numeric. Minimum 3 characters.



##### SWIFT/BIC

00000000

This is a code used to identify your bank and financial institution. It is a unique number between 8- or 11-character code. If you do not have a SWIFT/BIC Code, please enter 00000000.

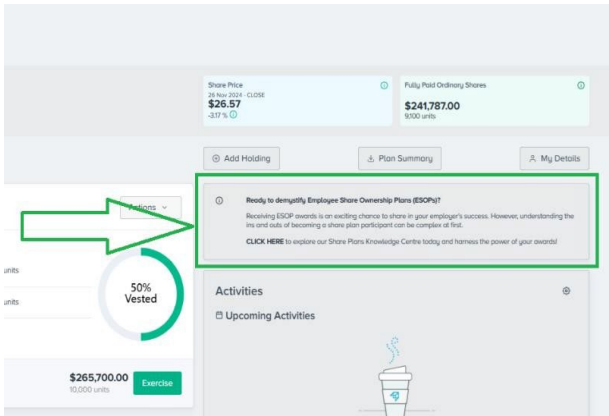




# Educational Resources

## Where can I learn more about Employee Share Ownership Plans (ESOPs)?

Automic has an Employee Share Plans Knowledge Centre, which provides educational resources to help you understand ESOPs. It includes FAQs, terminology definitions, and other helpful information.



# Contact Us

## Who can I contact if I need further assistance?

You can contact our support team at:

Phone:	1300 593 734 (within Australia)
Phone:	+61 2 7208 4523 (outside Australia)
Email:	<a href="mailto:northernstar@automicgroup.com.au">northernstar@automicgroup.com.au</a>



These are dedicated Northern Star Resources support phone and email lines, ensuring employees and shareholders receive priority access to our Investor Services team.

### **What are the opening hours for the Northern Star Resources Information Phone Line?**

The Northern Star Resources information phone line is available at the following times:

- Sydney (AEST/AEDT): 8:30 AM to 7:30 PM, Monday to Friday
- Perth (AWST): 6:30 AM to 5:30 PM, Monday to Friday
- Alaska (AKST/AKDT): 3:30 PM to 2:30 AM, Sunday to Thursday (Alaska time)

Please note that daylight saving time may affect opening hours depending on the time of year.